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07 JUN 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: PLUMMER
SERIAL NO: 09/284,684
FILED: April 15, 1999
FOR: VITAMIN DELIVERY

ATTN: BOX MISSING REQUIREMENTS UNDER 35 U.S.C. 371
Assistant Commissioner for Patents
Washington, DC 20231

SUBMISSION OF DOCUMENTS

Sir:

This is in response to the Notice to File Missing Requirements of Application dated May 14, 1999. Enclosed please find a Declaration and Power of Attorney, a Verified Statement, a copy of the Notice to File Missing Requirements, and a check in the amount of \$65.00 to cover the surcharge for late filing of the Oath/Declaration to be filed in the United States Patent and Trademark Office in the above-referenced patent application. If the accompanying paper is received after any applicable due date, Petition for Extension of Time under 37 C.F.R. §1.136 is hereby made.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **BOX MISSING PARTS**, Assistant Commissioner for Patents, Washington, D.C. on 06/03/99. Date of Signature: 06/03/99

Signature: Kristie R. Pierce

Kristie R. Pierce

06/10/1999 PVOLPE 00000050 09284684

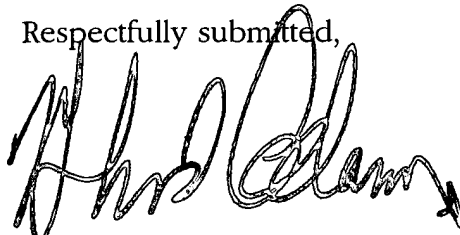
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APPLICANT: PLUMMER
SERIAL NO: 09/284,684
Page 2

If there are any additional fees due in connection with this matter, please charge Applicant's Deposit Account No. 01-0265. A duplicate of this paper is being submitted for this purpose.

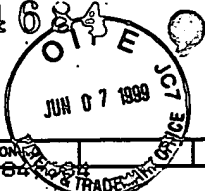
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'W. Thad Adams, III', written in a cursive style.

W. Thad Adams, III
Reg. No. 29,037

W. Thad Adams, III
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Our File No. 1620/3

09/284684



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
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|---|----------------------------------|------------------------------|
| U.S. APPLICATION NO. 09/284684 | FIRST NAMED APPLICANT PLOMMER | ATTY. DOCKET NO. N 1620/3 |
| INTERNATIONAL APPLICATION NO. PCT/GB97/02863 | | |
| I.A. FILING DATE 10/17/97 | PRIORITY DATE 10/17/96 | |

ADAMS LAW FIRM
2180 FIRST UNION PLAZA
CHARLOTTE NC 28282

RECEIVED
MAY 19 1999

ADAMS LAW FIRM, P.A.

DATE MAILED:

05/14/99

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☒ Translation of the international application into English.

☒ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☒ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 15 APR 1999 and _____

☐ Information Disclosure Statement(s) filed _____ and _____

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☒ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

- ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917 unsanctioned declaration

- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

6-14-99

Notification of Missing Requirements